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High angular resolution diffusion-weighted imaging in mild traumatic brain injury

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ABSTRACT

We sought to investigate white matter abnormalities in mild traumatic brain injury (mTBI) using diffusionweighted magnetic resonance imaging (DW-MRI). We applied a global approach based on tract-based spatial statistics skeleton as well as constrained spherical deconvolution tractography.

DW-MRI was performed on 102 patients with mTBI within two months post-injury and 30 control subjects. A robust global approach considering only the voxels with a single-fiber configuration was used in addition to global analysis of the tract skeleton and probabilistic whole-brain tractography. In addition, we assessed whether the microstructural parameters correlated with age, time from injury, patient's outcome and white matter MRI hyperintensities. We found that whole-brain global approach restricted to single-fiber voxels showed significantly decreased fractional anisotropy (FA) (p = 0.002) and increased radial diffusivity (p = 0.011) in patients with mTBI compared with controls. The results restricted to single-fiber voxels were more significant and reproducible than those with the complete tract skeleton or the whole-brain tractography. FA correlated with patient outcomes, white matter hyperintensities and age. No correlation was observed between FA and time of scan post-injury. In conclusion, the global approach could be a promising imaging biomarker to detect white matter abnormalities following traumatic brain injury.

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1. Introduction

Traumatic brain injury (TBI) may become one of the main causes of death and disability by 2020 according to World Health Organization (Hyder et al., 2007). Approximately 80% of all TBI cases are considered to be mild (mTBI) (Bazarian et al., 2005). mTBI causes symptoms from transient post-concussion syndrome to long-term morbidity (Sigurdardottir et al., 2009). Even though the majority of the patients with mTBI eventually recover completely, half of patients develop

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neurocognitive problems within the first month and almost one-fourth may have residual symptoms at one year (McMahon et al., 2014). The current clinical and imaging determinants insufficiently correlate with incidence of posttraumatic sequels in patients with mTBI. Common neuroimaging techniques such as computed tomography and conventional magnetic resonance imaging (MRI) have been widely used in TBI to detect macroscopic changes in the brain (Shenton et al., 2012), but they often fail to show diffuse axonal injury (DAI) (Mechtler et al., 2014; Shenton et al., 2012). Diffusion-weighted (DW) MRI is capable of showing white matter abnormalities not visible in routine MRI due to its sensitivity to microstructural changes. Diffusion tensor imaging (DTI) (Basser et al., 1994a, 1994b), which is commonly used to study white matter pathology non-invasively (Xu et al., 2007) has been shown to be sensitive and one of the most promising methods in revealing subtle brain changes in mTBI (Eierud et al., 2014; Shenton et al., 2012).

Several studies have shown that DW-MRI and in particular DTI could be helpful in identifying TBI-related alterations in brain structure (Shetty et al., 2016). Reduced fractional anisotropy (FA) and increased

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Abbreviations: AD, axial diffusivity; CSD, constrained-spherical deconvolution; DAI, diffuse axonal injury; DTI, diffusion tensor imaging; DW-MRI, diffusion-weighted magnetic resonance imaging; FA, fractional anisotropy; GCS, Glasgow Coma Scale; GOSe, Glasgow Outcome Scale extended; HARDI, high angular resolution diffusion imaging; MD, mean diffusivity; mTBI, mild traumatic brain injury; PTA, post-traumatic amnesia; RD, radial diffusivity; TBI, traumatic brain injury; TBSS, tract-based spatial statistics.

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mean diffusivity (MD) have been reported in patients with mTBI in acute or sub-acute stage (Aoki et al., 2012; Gu et al., 2013; Kumar et al., 2009; Toth et al., 2013; Wilde et al., 2008). DTI findings in acute and sub-acute phase of mTBI have been related to clinical outcome (Edlow et al., 2016; Messé et al., 2011; Yuh et al., 2014). However, DTI is not yet widely used as an imaging biomarker of TBI in clinical practice.

Moreover, although DTI is a useful tool for detection of changes in brain white matter, it has its inherent limitations (Jones and Cercignani, 2010; Tournier et al., 2011) and there are inconsistencies in results with regard to DTI measurements such as FA and MD in TBI (Lin et al., 2015). DTI can only model Gaussian diffusion while not all diffusion processes that occur in the brain are Gaussian (Bammer et al., 2003). Crossing fibers, present in the majority of white matter voxels (Jeurissen et al., 2013), are an issue that cannot be addressed by DTI. By utilizing DTI, results will yield lower FA values in voxels with complex fiber configurations. High angular resolution diffusion imaging methods have been developed to overcome these shortcomings (Descoteaux and Deriche, 2015; Tournier et al., 2011). Constrainedspherical deconvolution (CSD) is one of these methods, and is shown to be a robust way to resolve the crossing fiber issue associated with DTI (Farguharson et al., 2013; Tournier et al., 2004, 2007). In this study, we used a robust global approach (Hsu et al., 2012; Roine et al., 2013) by calculating mean anisotropy and diffusivity measures in the whole brain and CSD-based tractography (Jeurissen et al., 2011) to investigate microstructural abnormalities within two months of mTBI. CSD-based tractography has recently been in use to investigate abnormalities and network alterations following mTBI (van der Horn et al., 2016). We restricted the analysis to voxels with a single fiber orientation in order to remove the confounding effect of fiber complexity (Jeurissen et al., 2013; Tax et al., 2015; Vos et al., 2011) and to investigate changes in voxels with higher FA values than normal DTI between patients and controls.

2. Materials and methods

2.1. Subjects

During the EU-funded international TBICare (Evidence-based Diagnostic and Treatment Planning Solution for Traumatic Brain Injuries) project, 102 prospectively recruited patients with acute or sub-acute mTBI (age 47 ± 20) underwent DW-MRI in Turku, Finland within two months post-injury (21.2 ± 14.9 days) (Table 1). In addition, 30 control subjects with acute orthopedic injuries without acute or previous brain disorders (age 50 ± 20) were recruited (Table 1). The participants gave written informed consent, and the study was approved by the Ethical Committee of the Hospital district of South-West Finland. Patients with TBI were recruited using wide inclusion and few exclusion criteria as previously described (Takala et al., 2015). Glasgow Coma Scale (GCS) was used to assess severity of TBI (Braakman et al., 1980; Teasdale et al., 1978). Patients with GCS \geq 13 were further divided into two groups

Table 1

Characteristics of the study subjects and injury to MR imaging intervals.

 $(\leq 24 \text{ or } > 24 \text{ h})$ according to the duration of post-traumatic amnesia (PTA). The outcomes of patients with TBI were assessed using Extended Glasgow Outcome Scale (GOSe) that divides patients with TBI into eight groups allowing the standardized assessment of their recovery (Wilson et al., 1998). The outcome assessment was done 3–6 months after the injury. GOSe score was used as an ordinal variable ranging from 1 (death) to 8 (full recovery). For 21 of the control subjects, MRI was repeated later to evaluate reproducibility. Fazekas grading (Fazekas et al., 1987) was used to assess white matter hyperintensities potentially indicative of small vessel disease.

2.2. MR acquisition

MRI data was acquired using a Siemens 3 T MRI scanner (Magnetom Verio 3 T, Siemens Healthcare, Erlangen, Germany). Axial DW-MR images were obtained by a spin-echo echo-planar imaging sequence with repetition time 11.7 s, echo time 106 ms, $2 \times 2 \times 2$ mm voxel size and 77 axial slices; the field of view was 192×192 mm. Diffusion gradients with b = 1000 s/mm^2 were applied in 64 directions uniformly distributed on a unit sphere (Jones et al., 1999).

2.3. Data analysis

The DW-MRI data were analyzed using ExploreDTI (Leemans et al., 2009) and MATLAB (Mathworks, Natick, MA) software. Images were first corrected for motion and eddy current distortions (Leemans and Jones, 2009). Then, white matter FA skeleton was reconstructed based on tract-based spatial statistics (TBSS) (Smith et al., 2006). Fiber orientation distributions were estimated with CSD using spherical harmonics up to order six (Tournier et al., 2004, 2007), and recursive calibration of the single-fiber response function (Tax et al., 2014).

As the microstructural indices based on traditional DTI are affected by the complexity of the fiber configurations, we chose to investigate only the voxels with a single fiber orientation detected by CSD within the white matter skeleton. In addition, we compared these results to the microstructural indices measured within the complete white matter skeleton and the whole-brain fiber tractogram generated with probabilistic CSD-based tractography (Jeurissen et al., 2011). To calculate the mean microstructural values for the whole-brain tractogram, we first constructed a tract density image (Calamante et al., 2010) in diffusion space, which was multiplied voxel-wise with the microstructural values. These values were then added together and divided by the global sum of the tract density image. Seeding was performed using a grid of $1 \times 1 \times 1$ mm³ (8 seeds per voxel) and tractography was terminated using an angular threshold of 45°. Fiber orientation density function amplitude threshold of 0.1 and step size of 1 mm were used for tracking. The thresholds for the length of streamlines in the tractography were from 50 to 500 mm. These parameters resulted in 360,000 \pm 250,000 streamlines in the patient group and 380,000 \pm 235,000 streamlines in the control group.

Study group	Number of subjects	Age (years) (mean \pm std) (min-max)	Gender	Imaging time (days) (mean \pm std) (min-max)
mTBI patients (GCS ≥ 13)	102	47 ± 20 18-84	70 M 32 F	21 ± 15 1-52
mTBI patients (GCS \geq 13 & PTA \leq 24 h)	78	45 ± 20 18-84	52 M 26 F	21 ± 15 2-51
mTBI patients (GCS \geq 13 & PTA $>$ 24 h)	24	$\begin{array}{c} 55 \pm 16 \\ 2078 \end{array}$	18 M 6 F	$\begin{array}{c} 21\pm16\\ 152\end{array}$
Controls	30	$\begin{array}{c} 50 \pm 20 \\ 22 90 \end{array}$	14 M 16 F	



Fig. 1. Illustration of different approaches. (A) FA skeleton. (B) Single fiber voxel selection from the skeletonized FA.

To investigate microstructural white matter properties, global measures of FA, MD, radial diffusivity (RD), and axial diffusivity (AD) were calculated using three different approaches: complete skeleton, singlefiber skeleton (Fig. 1) and whole-brain tractogram. The distribution of these properties within each subject was also calculated. General linear model was then used to test for between group changes in all of the measurements. We applied a repeated measures analysis of variance (rmANOVA) with method as a within-subject factor and group as a between-subject factor. Group by region interaction terms were analyzed to compare different measures in terms of detecting a group difference in FA. Fazekas grading, and age were included in the statistical model as covariates (Ilvesmäki et al., 2014; Lebel et al., 2012; Lee et al., 2010, 2009; Stadlbauer et al., 2008; Yoon et al., 2008). Separate linear models were then created for each method.

In addition, the Pearson correlation coefficients of the microstructural properties with respect to GOSe, time post-injury and age were calculated. Non-parametric Spearman correlation was used to investigate the correlation of microstructural properties with Fazekas grading.

Statistical analyses were performed using SPSS (version 23, SPSS IBM, New York, NY). For all statistical analysis, confidence interval of 95% was considered for the statistical significance of the results.

Intraclass correlation coefficients (ICC) of the microstructural properties were calculated to evaluate the reproducibility of the methods in control subjects (Owen et al., 2013; Shrout and Fleiss, 1979; Shrout, 1998).

3. Results

3.1. Microstructural white matter abnormalities in mTBI

FA values were significantly lower in patients with mTBI compared to healthy controls (p = 0.002), and this difference depended on the



Fig. 2. Histograms of the fractional anisotropy values in patients with mTBI and controls. Histograms of all fractional anisotropy values in patients with acute or sub-acute mild traumatic brain injury, and controls using the single-fiber skeleton approach.

method (group by method interaction, F = 3.36, p = 0.039). The group difference was statistically significant for each method (Table 2). The difference in FA was significant both in patients with \leq 24 h of PTA (p = 0.006) and those with >24 h of PTA (p = 0.003). Group-wise histograms showed that mTBI patients had less voxels with high FA values and more voxels with low FA values compared with controls (Fig. 2). The rmANOVA also indicated significant main effects of group (F = 8.54, p = 0.004), age (F = 86.6, p < 0.001), and Fazekas grade (F = 4.92, p = 0.028).

RD was increased in all patients compared with controls (p = 0.011), and separately in patients with PTA ≤ 24 h (p = 0.033) and >24 h of PTA (p = 0.006). AD and MD did not show significant differences (Table 3). Results without controlling for white matter hyperintensities showed significant differences between patients and controls in FA, MD and RD (Supplementary Tables 1 and 2).

3.2. Comparison of methods

The method restricted to single-fiber skeleton voxels, which constituted 29.13% of the WM skeleton voxels in average, produced the most significant results compared to whole skeleton and whole-brain CSDbased tractogram (Table 2). In addition, the single-fiber method was the most reproducible, as shown by the ICC values calculated from the control subjects (Table 4). Of the microstructural properties, RD and

Table 2

Global fractional anisotropy (FA) values measured with the three different methods in acute or sub-acute mild traumatic brain injury (mTBI defined as GCS \geq 13, GCS \geq 13 and post traumatic amnesia (PTA) \leq 24 h, GCS \geq 13 and PTA > 24 h) vs controls. Age and white matter hyperintensities (measured by Fazekas scale) were used as covariates.

Study group	FA skeleton, single-fiber only		FA skeleton		FA tractogram	
	mean \pm SD	F-value (p-value)	mean \pm SD	F-value (p-value)	mean \pm SD	F-value (p-value)
All mTBI (GCS \geq 13)	0.576 ± 0.042	9.917	0.412 ± 0.025	4.606	0.521 ± 0.047	6.764
Controls	0.591 ± 0.034	0.002	0.419 ± 0.021	0.034	0.534 ± 0.043	0.010
mTBI (GCS ≥ 13 & PTA ≤ 24 h)	0.582 ± 0.040	7.808	0.416 ± 0.022	3.195	0.527 ± 0.048	4.806
Controls	0.591 ± 0.034	0.006	0.419 ± 0.021	0.077	0.534 ± 0.043	0.031
mTBI (GCS \geq 13 & PTA > 24 h)	0.556 ± 0.042	9.497	0.399 ± 0.030	5.954	0.501 ± 0.040	6.565
Controls	0.591 ± 0.034	0.003	0.419 ± 021	0.018	0.534 ± 0.043	0.013

Table 3

Global mean (MD), axial (AD) and radial (RD) diffusivity values measured with the single-fiber skeleton approach in acute or sub-acute mild traumatic brain injury (mTBl defined as GCS \geq 13, GCS \geq 13 and post traumatic amnesia (PTA) \geq 24 h, GCS \geq 13 and PTA > 24 h) vs controls. Age and white matter hyperintensities (measured by Fazekas scale) were used as covariates.

Study group	$\begin{array}{c} \text{MD} \\ (\times 10^{-3} \text{ mm}^2/\text{s}) \end{array}$			AD $(\times 10^{-3} \text{ mm}^2/\text{s})$		$ \begin{array}{c} \text{RD} \\ (\times 10^{-3} \text{ mm}^2/\text{s}) \end{array} $	
	mean \pm SD	F-value (p-value)	mean \pm SD	F-value (p-value)	mean \pm SD	F-value (p-value)	
All mTBI (GCS \geq 13)	0.783 ± 0.073	2.801	1.389 ± 0.081	1.528	0.560 ± 0.105	6.672	
Controls	0.765 ± 0.058	0.097	1.368 ± 0.073	0.219	0.525 ± 0.085	0.011	
mTBI (GCS \geq 13 & PTA \leq 24 h)	0.775 ± 0.073	1.677	1.383 ± 0.083	0.808	0.546 ± 0.103	4.671	
Controls	0.765 ± 0.058	0.198	1.368 ± 0.073	0.371	0.525 ± 0.085	0.033	
mTBI (GCS \geq 13 & PTA > 24 h)	0.809 ± 0.066	3.99	1.411 ± 0.074	2.403	0.604 ± 0.103	8.087	
Controls	0.765 ± 0.058	0.051	1.368 ± 0.073	0.127	0.525 ± 0.085	0.006	

Table 4

Reproducibility measured with intraclass correlation coefficient (ICC) of the global microstructural properties (In 21 control subjects with repeated scans). FA: fractional anisotropy; MD: mean diffusivity; RD: radial diffusivity; AD: axial diffusivity.

Microstructural property	ICC
FA, single-fiber skeleton	0.970
FA, whole skeleton	0.920
FA, tractogram	0.958
MD, single-fiber skeleton	0.939
RD, single-fiber skeleton	0.979
AD, single-fiber skeleton	0.863

FA were the most reproducible measures. The histograms showed that single-fiber method produced higher mean FA values than whole-brain tractogram or the complete skeleton (Fig. 3).

3.3. Correlation of white matter properties to age, time of scan post-injury, patient's outcome and white matter hyperintensities

FA did not correlate significantly with post-injury scan delay in patients with GCS \geq 13 (p = 0.899 in all patients, p = 0.535 in patients with \leq 24 h of PTA and p = 0.170 in patients with >24 h of PTA), although it was negatively associated with age (p < 0.0001 in all patients and also in both patient groups divided by the duration of PTA) and

positively associated with GOSe (p = 0.0003 in all patients and p = 0.004 in patients with \leq 24 h of PTA) (Table 5). In addition, FA correlated negatively with Fazekas grading (p < 0.0001 in all patients and patients with \leq 24 h of PTA). No statistically significant correlation was observed between FA and GOSe (p = 0.311) and FA and Fazekas (p = 0.092) in patients with >24 h of PTA. On average, patients had more white matter hyperintensities than controls (p = 0.015). Nevertheless, our main analysis controlled for this potential confounder in the statistical model.

4. Discussion

We found lower white matter FA values in patients with mTBI compared with controls, consistent with white matter damage. FA values were also associated with mTBI outcome measured using the GOSe, although this correlation was not statistically significant in patients with >24 h of PTA. Importantly, the group difference depended on the analysis method, such that the global whole-brain approach based on TBSS skeleton and restricted to single-fiber voxels using CSD had the best sensitivity to detect this difference and it was also the most reproducible. This method may have clinical utility in aiding the diagnostics of mTBI.

Our findings of decreased FA and increased MD, RD and AD in patients with mTBI compared to controls is in accordance with previous studies in acute or sub-acute phase of mTBI (Arfanakis et al., 2002; Gray et al., 2013; Messé et al., 2011; Miles et al., 2008; Narayana et al., 2014; Rutgers et al., 2008; Toth et al., 2013). The use of white matter



Fig. 3. Differences in fractional anisotropy between the three approaches. (A) Histograms of mean fractional anisotropy values using the single-fiber skeleton, whole skeleton and tractography approaches in acute or sub-acute mild traumatic brain injury (mTBI). (B) Boxplot of FA values in patients and controls yielded by three approaches: complete white matter skeleton, white matter skeleton restricted to single-fiber voxels and whole brain tractogram. (This graph was generated by GraphPad Prism version 7.0, GraphPad Software, San Diego, California, USA, www.graphpad.com).

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Table 5

Pearson correlation coefficients of fractional anisotropy (FA) with neurological outcome measured with Glasgow Outcome Scale extended (GOSe), white matter hyperintensities measured, time post-injury, and age as well as non-parametric Spearman correlation coefficient of FA with Fazekas. Two-tailed significance was used to test the statistically significant correlation (p < 0.05).

Study group	FA and GOSe	FA and Fazekas	FA and time	FA and age
All mTBI	r = 0.363	$\begin{array}{l} r = -0.619 \\ p < 0.0001 \end{array}$	r = -0.013	r = -0.787
(n = 102)	p = 0.0003		p = 0.899	p < 0.0001
mTBI with PTA \leq 24 h (n = 78)	r = 0.341	r = -0.646	r = 0.071	r = -0.814
	p = 0.004	p < 0.0001	p = 0.535	p < 0.0001
mTBI with PTA > 24 h $(n = 24)$	r = 0.227	r = -0.352	r = -0.289	r = -0.639
	p = 0.311	p = 0.092	p = 0.170	p < 0.001

skeleton minimizes the effect of isotropic partial volume effects, shown to affect CSD (Roine et al., 2014, 2015a) and DTI (Alexander et al., 2001), but has other inherent limitations (Bach et al., 2014). However, these limitations are largely related to registration problems, which could be eliminated by applying a global whole-brain wide approach. In addition, the robustness of our findings was further demonstrated by their replication with CSD-based whole-brain tractography, which avoids most of the limitations of the skeletonization.

Our findings of increased diffusivity and reduced anisotropy may indicate extracellular brain edema (Iffland et al., 2014; Veeramuthu et al., 2015), axonal degradation (Beaulieu, 2002), or demyelination (Song et al., 2002) of white matter structures affecting their integrity. It has been shown that at the acute stage of the injury, the axonal degradation is the primary pathology (Mac Donald et al., 2007) while demyelination, which can be the cause of the increase in RD is the major pathological change after the acute stage (Mac Donald et al., 2007; Song et al., 2005).

Patients with longer duration of PTA tended to have lower anisotropy and higher diffusivity values compared to patients with shorter PTA. However, the differences between these two severity groups were not statistically significant.

Whole-brain histograms demonstrated that the difference in mean FA between the controls and patients with mTBI manifests as both higher number of low FA values and lower number of high FA values in the histogram. Previously, whole-brain histogram analysis did not differentiate between mTBI patients and controls (Inglese et al., 2005), suggesting that white matter microstructural abnormalities may be too subtle to be detected with DTI. However, the previous study had only six gradient directions and the analysis included all gray and white matter voxels within the brain (Inglese et al., 2005).

A recent study showed no local white matter changes in acute mTBI compared to controls (Ilvesmäki et al., 2014). In that study, MRI was performed on the average 48 h post-injury, while our MR imaging was done at a mean of 21.3 days post-injury. These differing results suggest that white matter changes develop slowly and may not be measurable immediately after injury. In addition, our robust global approach and elimination of complex fiber configurations compared to their voxel-based approach could account for the differences in these studies.

A limitation of this study is that the b-value describing the amount of diffusion weighting was lower than optimal for CSD-based tractography (Tournier et al., 2013). However, 64 gradient orientations were likely sufficient and crossing fibers could reliably be detected as previously shown in e.g. (Annen et al., 2016; Roine et al., 2015b, 2015c). In addition, patients in both acute and sub-acute stages of mTBI were included in this study, which might have affected the results although within this time range our results did not correlate with the time from injury. Finally, patients with mTBI tended to have higher incidence of white matter hyperintensities, which is a potential confounder in assessing mTBI-related alterations in mTBI. However, these visible white matter changes may also be related to the mTBI and additionally we controlled for this potential confounder in the statistical models.

In conclusion, our study shows that mTBI is associated with microstructural changes in the white matter and that these changes correlate with the outcome. As a novel finding, we show that a single-fiber approach improves the sensitivity and reliability in detecting these alterations.

Supplementary data to this article can be found online at http://dx. doi.org/10.1016/j.nicl.2016.11.016.

Conflicts of interest declaration

The authors report no conflicts of interest.

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