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2 **A dynamic region estimation method for cerebral**
3 **perfusion CT**
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8 **Abstract**

9 *In cerebral perfusion computed tomography (PCT), multiple scans of the brain are*
10 *acquired after an intravenous contrast bolus injection. Therefore, radiation dose is a*
11 *major issue.*

12 *Recently, methods have been proposed that can reconstruct high quality dynamic (i.e.,*
13 *4D) images, while keeping the radiation dose limited. These methods typically require*
14 *an accurate estimate of the dynamic region inside the brain volume, i.e., the region*
15 *containing tissue/vessels. Conventionally, the dynamic region is indicated manually.*

16 *In this work, a method for low-dose cerebral PCT is presented in which the dynamic*
17 *region is estimated in an automatic way.*

18 *Simulation results on two PCT phantoms show that the dynamic region can be*
19 *accurately estimated, even in a very low-dose regime, which is an important step*
20 *towards more powerful reconstruction methods for low-dose cerebral PCT.*
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44 1. Introduction

45 Cerebral perfusion computed tomography (PCT) is an important imaging technique to detect and
46 diagnose acute stroke events. During a stroke event, the blood supply to the brain is locally
47 disturbed, resulting in rapid loss of brain function [1].

48 In PCT, a time-varying brain volume is reconstructed based on multiple scans of the brain
49 volume after an intravenous contrast bolus injection. From the PCT scans, diagnostic relevant
50 perfusion maps such as cerebral blood volume and cerebral blood volume can be derived [2-4].
51 These perfusion maps allow for identifying the extent of a region of severely ischemic but
52 potentially salvageable brain tissue, which is important therapeutic information for the clinician.

53 Because the same volume needs to be scanned multiple times, radiation dose is a major issue. A
54 straightforward solution for lowering the radiation dose consists of reducing the exposure time or
55 the number of acquired projection images. However, if standard reconstruction algorithms such
56 as the simultaneous iterative reconstruction technique (SIRT) [5] or filtered backprojection (FBP)
57 [6] are utilized, this will typically lead to artifacts in the reconstructed volumes, which may result
58 in erroneous diagnosis.

59 Recently, various reconstruction algorithms have been proposed that can produce adequate image
60 quality for a lower radiation dose [7-10]. These methods typically require an accurate estimate of
61 the dynamic region, i.e., the region containing tissue/vessels that have a time-varying attenuation
62 coefficient due to the injected contrast agent. However, finding this region is far from trivial,
63 especially in the case of low-dose PCT, and, to the authors' knowledge, no research has been
64 published on how to accurately estimate this region. Therefore, the dynamic region is usually
65 indicated manually or estimated with a simple threshold method, thereby introducing errors that
66 propagate through the entire reconstruction/post-processing procedure.

67 In this work, a new dynamic region estimation method for low-dose cerebral PCT is presented.
68 The dynamic region is automatically estimated using an optimization approach that enforces
69 PCT-specific model restrictions on the reconstructed volume while maximizing correspondence
70 with the projection data and minimizing model-fitting errors in the dynamic region.

71 In Section 2, some basic concepts of computed tomography are introduced, followed by a
72 description of the model-specific assumptions in PCT and the dynamic region estimation method.
73 Various validation experiments are reported in Section 3. The paper is concluded in Section 4.

74 2. Method

75 2.1 Computed tomography

76 The reconstructed image of the scanned object is represented on a pixel grid. The pixel values of
77 the image are represented by a $N \times 1$ column vector $\mathbf{x} = (x_i) \in \mathbb{R}^N$. The scanning process results in
78 M data values, which are log-corrected and ordered in a vector $\mathbf{p} = (p_i) \in \mathbb{R}^M$. We refer to \mathbf{p} as
79 the *projection data* or *sinogram*. Given a reconstructed image \mathbf{x} , the i^{th} projection value can be

80 simulated by the linear combination $\sum_{j=1}^N w_{ij} x_j$, where w_{ij} usually represents the intersection length

81 between pixel j and projection line i . If all the weights are properly stored into a (sparse) matrix
82 $\mathbf{W} = (w_{ij}) \in \mathbb{R}^{M \times N}$, the correspondence between the projection data \mathbf{p} and the image \mathbf{x} can be
83 written as a system of linear equations $\mathbf{W}\mathbf{x} = \mathbf{p}$. Since noise and discretization effects render this
84 system inconsistent, algebraic reconstruction methods (such as SIRT) typically minimize the

85 projection distance $\|\mathbf{W}\mathbf{x} - \mathbf{p}\|$ for some norm $\|\cdot\|$. In this work, we frequently simulate the
 86 sinogram with the forward projection operator \mathbf{W} , which was implemented using the ASTRA
 87 toolbox [11,12].

88 In perfusion CT, the brain volume is reconstructed on a predefined number of time points T . This
 89 is achieved by rotating the source and detector T times around the brain volume, thereby
 90 collecting T sets of projection data over the full 180° range. Conventionally, the brain volume is
 91 then reconstructed on T different time points, where each individual reconstruction is based on its
 92 corresponding full 180° angular range projection data.

93 2.2 Model assumptions

94 The dynamic region estimation method that is introduced in this paper relies on two model
 95 assumptions for cerebral PCT.

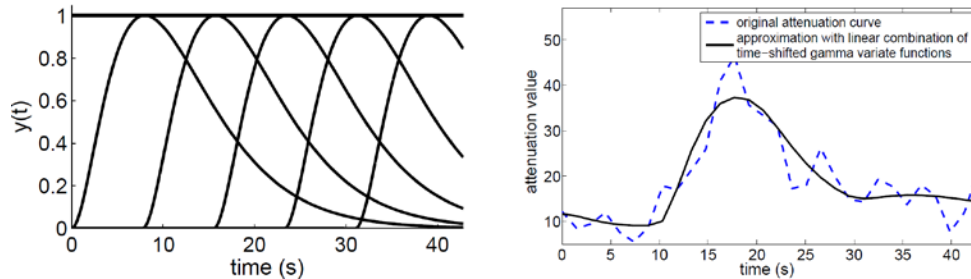
96 The first assumption is trivial: the time-varying brain volume consists of both stationary regions
 97 (the bone and void space) and dynamic regions (arteries and brain tissue).

98 Secondly, the concentration curves (i.e., the temporal evolution of the contrast agent
 99 concentration in each pixel) in the dynamic region can be described by a linear combination of
 100 time-shifted gamma variate functions, since the flow of contrast agent through a particular pixel
 101 has smooth rise and fall characteristics [13]. In this work, the gamma variate functions are
 102 defined by their simplified form

$$103 \quad y(t) = (t - t_0)^\kappa \exp\left(-\frac{t - t_0}{\beta}\right), \quad (1)$$

104 where κ and β are two parameters describing the shape, and t_0 is a temporal shift. It is assumed
 105 that each TCC can be described by a linear combination of five time-shifted gamma variate
 106 functions y_1, y_2, y_3, y_4, y_5 , where we have chosen $\kappa = 2$ and $\beta = 4$ for each of these curves. The
 107 parameter t_0 is chosen such as to distribute the gamma variate functions uniformly over the full
 108 time interval. The resulting gamma variate functions are shown in the left plot of Figure 1.

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Figure 1: Left: The 5 time-shifted gamma variate functions and the constant function. Right: A typical time attenuation curve extracted from a specific pixel in a SIRT reconstruction and its least square approximation by a linear combination of the time-shifted gamma variate functions and the constant function.

115 To model the constant attenuation offset of the attenuation curves, the set of five gamma-variate
 116 functions is augmented with a sixth function $y_6 = 1$. It is now assumed that the attenuation curve
 117 of every pixel in the dynamic region can be described as

$$118 \quad x(t) = \sum_{i=1}^6 a_i y_i(t), \quad (2)$$

119 where $x(t)$ represents the time-dependent model of attenuation in a specific pixel. In practice, this
 120 model assumption can be enforced by least squares fitting of (2) to each extracted attenuation
 121 curve. An example of such a fitted function is visualized in the right plot of Figure 1.

122 2.3 Dynamic region estimation method

123 In this section, the dynamic region estimation method is explained in detail. First, the B-spline
 124 based closed curve representation of the dynamic region is described in section 2.3.1. Next, in
 125 section 2.3.2, the objective function that quantifies the current dynamic region estimation quality
 126 is introduced. Finally, the minimization procedure is explained in section 2.3.3.

128 2.3.1 Dynamic region descriptor

129 A brief description of the parametric B-spline closed curve model [14,15] for representing the
 130 dynamic region is given. To define the normalized B-spline closed curve of degree k with n
 131 control points, we first introduce $n + 2k + 1$ knot-points $t_{-k} < t_{-k+1} < \dots < t_{n+k} \in \mathbb{R}$. The following
 132 recursion relations are used to define the normalized B-spline basis functions of degree k for
 133 $i = -k, -k+1, \dots, n-1$:

$$134 \quad N_{i,k+1}(t) = \frac{t-t_i}{t_{i+k}-t_i} N_{i,k}(t) + \frac{t_{i+k+1}-t}{t_{i+k+1}-t_{i+1}} N_{i+1,k}(t) \quad (3)$$

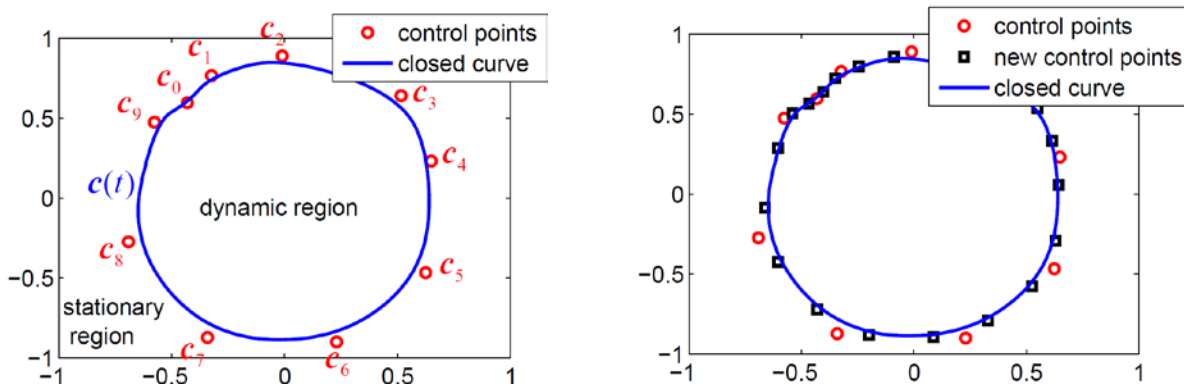
$$135 \quad N_{i,l}(t) = \begin{cases} 1 & \text{if } t_i \leq t < t_{i+1} \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

136 These B-spline basis functions allow us to define the closed curve $\mathbf{c}(t)$ with $t \in [t_0, t_n]$ being the
 137 parameter describing the exact location on the curve:

$$138 \quad \mathbf{c}(t) = \sum_{i=-k}^{n-1} \mathbf{c}_i N_{i,k+1}(t) \quad (5)$$

139 The vectors $\mathbf{c}_i \in \mathbb{R}^2$ are the control points and are chosen such that $\mathbf{c}_i = \mathbf{c}_{i-n}$ for $i = n-k, \dots, n-1$.
 140 Once the knot-points $t_{-k} < t_{-k+1} < \dots < t_{n+k}$ are fixed, the region within the closed curve \mathbf{c} is
 141 completely described by the coordinates of the control point $\mathbf{c}_0, \mathbf{c}_1, \dots, \mathbf{c}_{n-1}$. As an example, a B-
 142 spline based closed curve of degree $k=2$ and with $n=10$ control points is visualized in Figure 2.

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145 **Figure 2:** Left: A B-spline based closed curve of degree $k=2$ and with $n=10$ control points $\mathbf{c}_0, \mathbf{c}_1, \dots, \mathbf{c}_9$. Right: The
 146 same closed curve $\mathbf{c}(t)$ represented with twice the amount of control points.

147 A useful property of the B-spline based closed curve is the fact that the same closed curve can be
 148 easily represented by twice the number of spline control points by applying Boehm's formula for
 149 knot insertion [15]. This is also visualized in Figure 2. This property is exploited to implement a
 150 multiresolution minimization approach, described in section 2.3.3.

151 152 2.3.2 Objective function

153 For every possible set of control points that represent an estimate of the dynamic region, an
 154 objective function value is calculated, quantifying the quality of the dynamic region estimation.
 155 The coordinates of the B-spline closed curve control points are ordered in a parameter vector α ,
 156 describing the degrees of freedom of our optimization routine. All steps for evaluating the
 157 objective function are visualized in Figure 3.

158 The objective function is based on the measured projection data and a preliminary reconstruction,
 159 which can for example be calculated with SIRT or FBP. The objective function is formed by a
 160 weighted sum of two terms: A model-based projection distance (MPD) term and a model-fit error
 161 correlation measure (MEC).

162 The MPD term quantifies how likely the current dynamic region estimate α is to occur by
 163 inferring model assumptions back to the originally measured data, i.e., the measured sinogram.
 164 To calculate the MPD term, the attenuation curves of the preliminary reconstruction are replaced
 165 by their mean in the current stationary region (i.e., the dynamic region's complement) and by the
 166 attenuation curve's least squares approximation based on a linear combination of time-shifted
 167 gamma variate basis functions and the constant function (Section 2.2, equation (2)) in the
 168 dynamic region. This modification forces the reconstruction to adhere to the model assumptions
 169 that were introduced in section 2.2. If the current dynamic region estimate α is far from the true
 170 dynamic region, this step will introduce large errors. If the current estimate α close to the true
 171 dynamic region, this processing step will improve the preliminary reconstruction. Next, a
 172 simulated sinogram is calculated by forward projecting the processed reconstruction. This
 173 simulated sinogram is compared to the measured sinogram by calculating the sum of squared
 174 differences, normalized with the energy in the measured sinogram:

$$175 \quad MPD = \frac{\sum_{i=1}^M (p_{sim}(i) - p_{meas}(i))^2}{\sum_{i=1}^M (p_{meas}(i))^2}, \quad (6)$$

176 where M is the number of measured sinogram values and p_{sim} and p_{meas} are the simulated and
 177 measured sinogram, respectively. Errors in the dynamic region's estimate α will introduce large
 178 errors in the processed reconstruction. This will propagate towards a mismatch between the
 179 simulated sinogram and the measured sinogram, resulting in a higher MPD. An accurate estimate
 180 α results in a simulated sinogram closely related to the measured sinogram and hence a lower
 181 MPD.

182 The MEC term quantifies how well the linear combination of time-shifted gamma variate basis
 183 functions describes the time concentration curves in the dynamic region. The first step consists of
 184 calculating the linear combination of the 5 time-shifted gamma variate function and the constant
 185 function (see Section 2.2) to approximate the time attenuation curve of each pixel in the dynamic
 186 region. After subtracting the constant function, the time concentration curves are obtained, as is
 187 illustrated on the flowchart in Figure 3. If the time concentration curve is close to a constant
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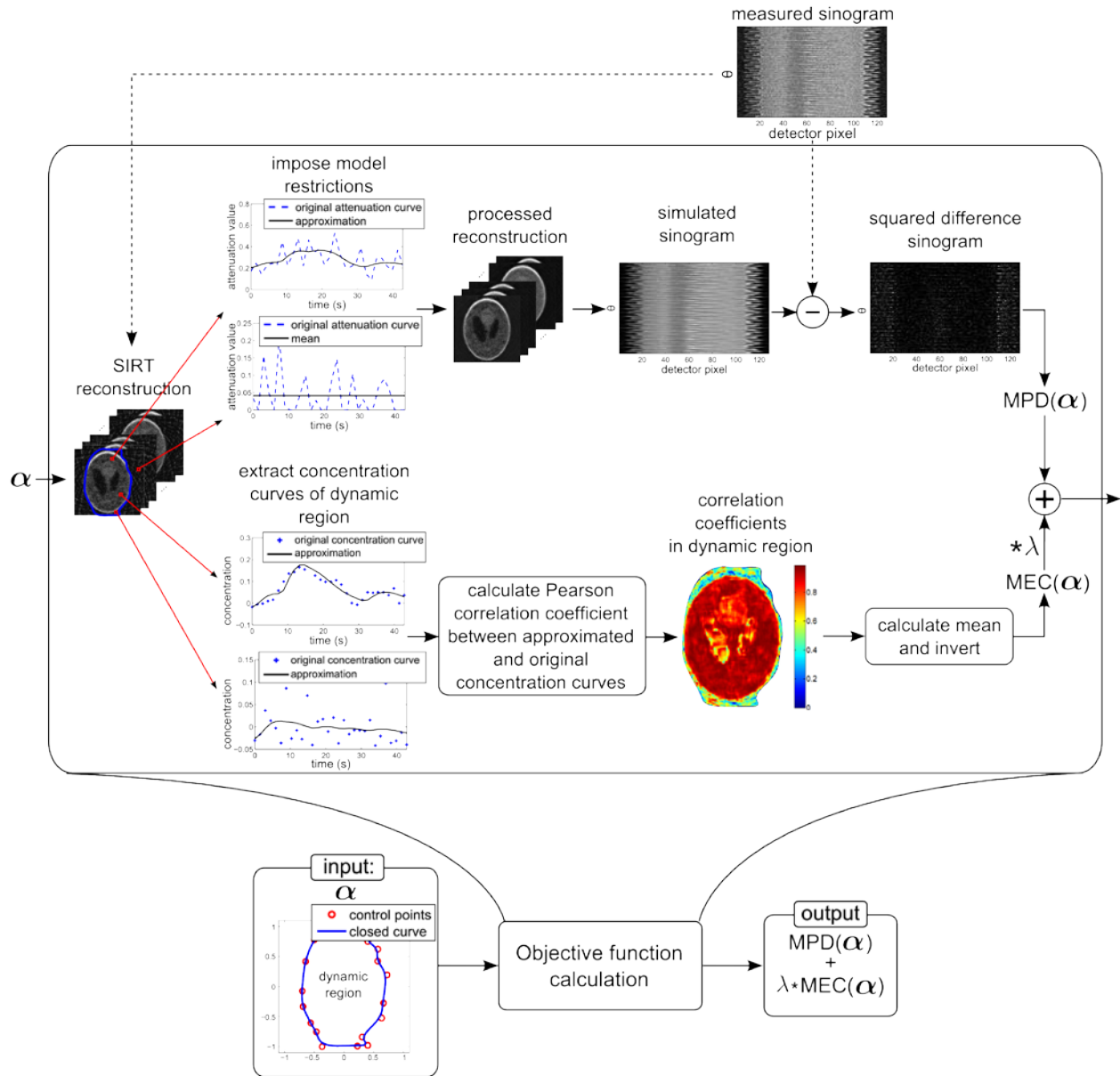


Figure 3: Flowchart for calculating the objective function value

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function around zero, the correlation between the fitted and the original concentration curve will be low. However, if the concentration curve exhibits rise and fall characteristics, the approximation with time-shifted gamma variate functions will be highly correlated with the original concentration curve. Therefore, the Pearson correlation coefficient between the original and the approximated concentration curve is calculated:

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$$r_{f_1 f_2} = \frac{\sum_{i=1}^T (f_1(i) - \bar{f}_1)(f_2(i) - \bar{f}_2)}{\sqrt{\sum_{i=1}^T (f_1(i) - \bar{f}_1)^2} \sqrt{\sum_{i=1}^T (f_2(i) - \bar{f}_2)^2}}, \quad (7)$$

198 where T represents the number of time points and f_1 and f_2 represent the discrete
 199 representations of the approximated and the original concentration curve. This Pearson
 200 correlation coefficient is calculated for each pixel in the dynamic region, as is illustrated in the
 201 flowchart of Figure 3. Finally, MEC is defined as the inverse of the mean of the Pearson
 202 correlation coefficients over all dynamic pixels. This will be higher if the dynamic region
 203 estimate is overestimated (i.e., it also includes stationary pixels) and will be lower if less
 204 stationary pixels are included in the dynamic region's estimate.

205 The objective function value is formed by the weighted sum $MPD(\alpha) + \lambda * MEC(\alpha)$, where λ
 206 controls the trade-off between these two terms. The objective function's behavior is evaluated in
 207 more detail in Section 3.2.

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209 2.3.3 Minimization

210 The minimization procedure starts from an initial estimate calculated with a basic method as
 211 follows. First, a standard SIRT reconstruction is filtered with a three-dimensional $3 \times 3 \times 3$
 212 averaging filter. Next, the corresponding concentration curves are thresholded to produce a
 213 binary image. From this binary image mask, the largest connected component is selected and its
 214 holes are filled. This method for calculating the dynamic region is referred to as the *basic*
 215 *method*. Finally, the initial parameter vector α_0 is obtained by approximating the edge of the
 216 basic estimate with a B-spline based closed curve.

217 Starting from the initial parameter vector α_0 , the minimization is performed with a
 218 multiresolution approach that starts at level $L=3$ and proceeds as follows:

- 219 1. Calculate a SIRT reconstruction on T/L time points by joining every subsequent L
 220 projection datasets and applying SIRT on the joined projection datasets. This will
 221 introduce more motion artifacts in the reconstruction (due to the fact that the
 222 reconstruction was based on inconsistent projection data) but will reduce noise and
 223 limited data artifacts. Apply a block matching filter to this SIRT reconstruction, to further
 224 reduce the influence of the noise [16]. The obtained reconstruction is further utilized in
 225 the calculation of the objective function in step 2.
- 226 2. Loop over each control point and move it away and towards the center of mass of the
 227 current dynamic region's estimate. This is illustrated for one control point in Figure 5. For
 228 each position, calculate the objective function value and finally change the control point
 229 towards the position with the lowest objective function value.
- 230 3. If the current level $L=1$, the minimization procedure is finished. Otherwise, double the
 231 number of control points, set $L = L-1$ and go back to step 1.

232 3. Experiments

233 3.1 Phantoms

234 The two simulation phantoms that were utilized in this paper are visualized in Figure 4. The
 235 Shepp-Logan phantom was modified by superimposing various concentration curves that
 236 represent different types of tissue. The brain phantom was taken from literature and represents a
 237 more realistic PCT phantom [17-18]. Each of the phantoms were defined on a 256×256 pixel
 238 grid and simulated on 30 points in time. The projections were simulated with a strip kernel and
 239 Poisson distributed noise was applied to the projections [6]. The reconstructions were calculated
 240 on a 128×128 pixel grid. As a validation measure, the number of misclassified pixels (NMP) is
 241 utilized. It is defined as the number of pixels that are misclassified with respect to the ground

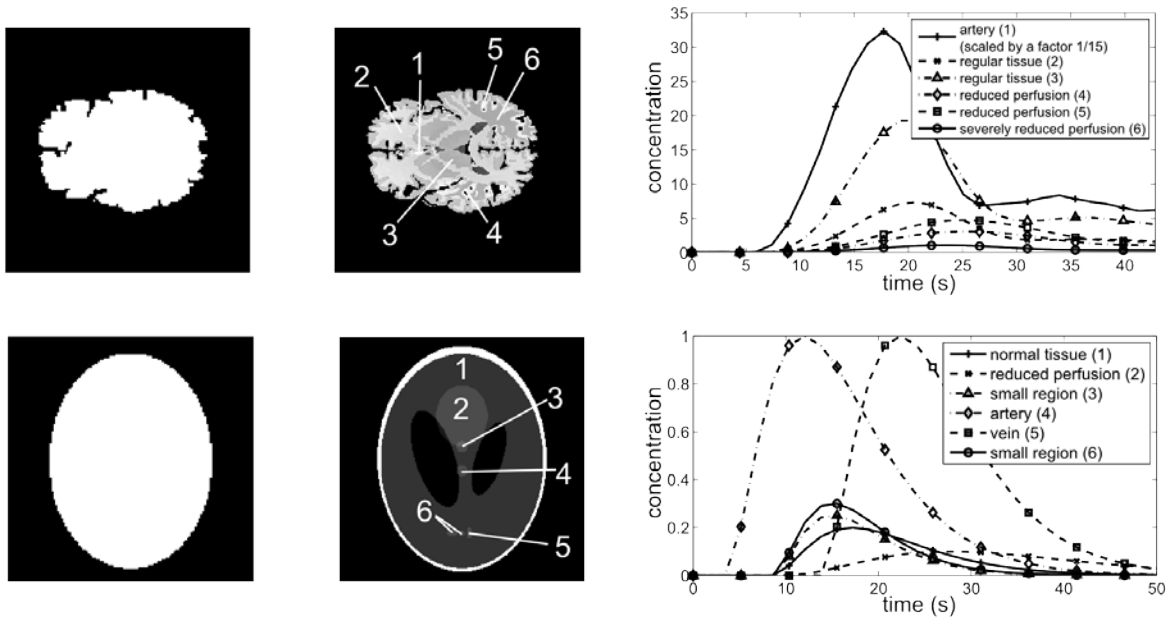


Figure 4: The two simulation phantoms. The top row represents the digital brain phantom, the bottom row the modified Shepp-Logan phantom. First column: the ground truth dynamic region. Second column: The phantom at the first time point. Last column: The concentration curves for different types of pixels.

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truth dynamic region mask. In all our experiments, the initial number of control points was set to 20 and the weighting parameter λ was set to 0.03.

249 3.2 Objective function's behavior

250 The objective function's behavior is illustrated in Figure 5.

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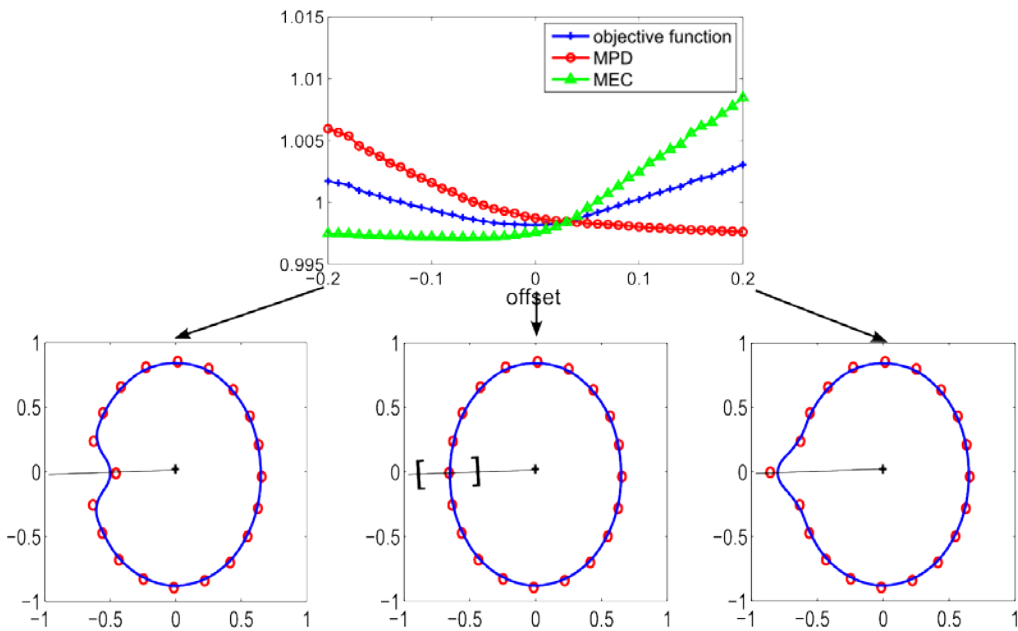


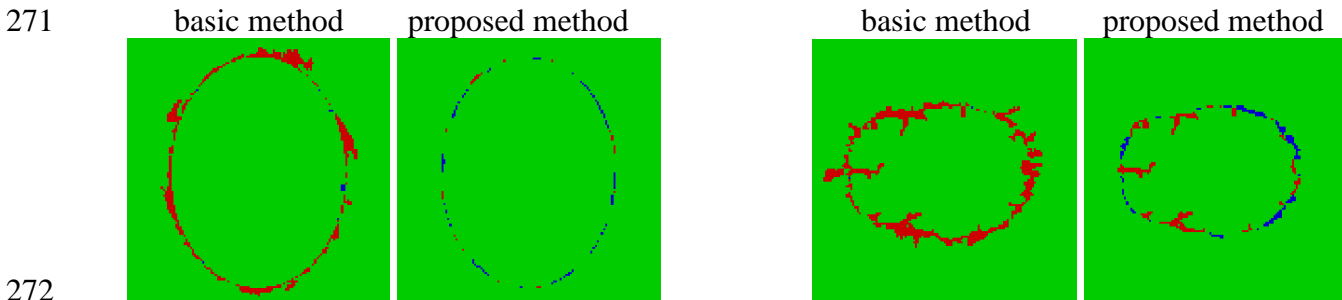
Figure 5: Illustration of the objective function's behavior. The x-axis corresponds to the offset of the indicated control point in the direction towards or away from the center of mass of the dynamic region. The central dynamic region image corresponds to the ground truth for the Shepp-Logan experiment. The objective function value, MEC and MPD term were scaled to be able to represent them on the same plot.

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 258 For this experiment, 20 projections were simulated for each of the 30 time points of the modified
 259 Shepp-Logan phantom. Starting from the ground truth dynamic region (indicated by offset 0 in
 260 Figure 5), the indicated control point was moved towards and away from the dynamic region's
 261 center of mass. The MPD, MEC and objective function value (scaled such that they can be
 262 visualized in the same plot) were calculated for each position of that particular control point.
 263 From Figure 5, it can be seen that the ground truth dynamic region corresponds to minimum of
 264 the objective function, and that changing the ground truth dynamic region will increase the
 265 objective function value.

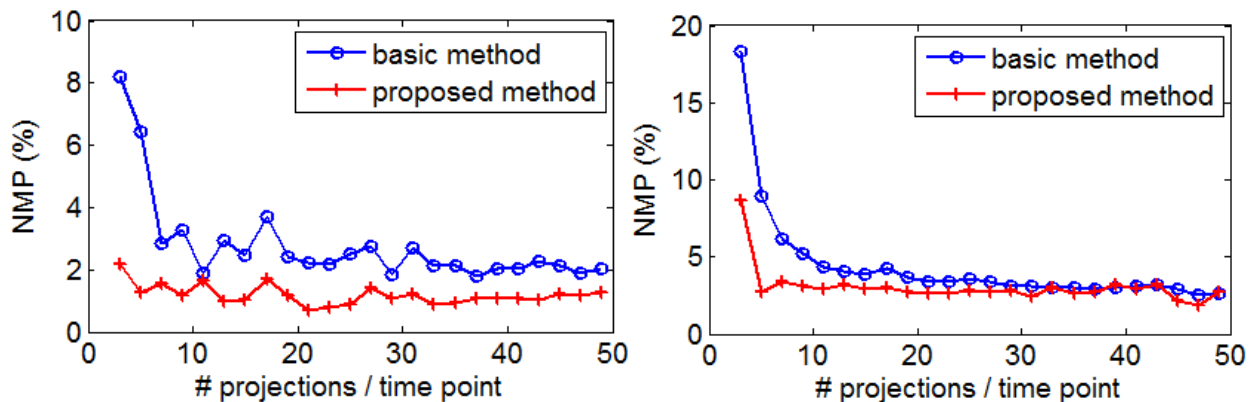
266 3.3 Region estimation quality

267 In a first experiment, a total of 600 projections were simulated (corresponding to 10 projections
 268 per time point) for both the Shepp-Logan and the digital brain phantom. The result of the basic
 269 and the proposed method are visualized in Figure 6.



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 273 **Figure 6:** Results of the region estimation methods. Left: Basic method and proposed method applied in the Shepp-
 274 Logan experiment. Right: Basic method and proposed method applied in the digital brain experiment. The pixels are
 275 color-coded as follows: Green refers to correctly classified pixels, red refers to pixels that were misclassified as
 276 dynamic and blue refers to pixels that were misclassified as stationary.
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278 The previous experiment was repeated several times, each time for a different number of
 279 simulated projections per time point. For each of these set-ups, the NMP was calculated and
 280 plotted in function of the simulated number of projections per time point in Figure 7.
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 283 **Figure 7:** The NMP in function of the number of projections per time frame for both the proposed region estimation
 284 method and the basic method. The left plot is for the Shepp-Logan phantom and the right plot for the digital brain
 285 perfusion phantom.

286 **4. Conclusions**

287 We presented a robust dynamic region estimation method for PCT. Both qualitative and
 288 quantitative phantom validation experiments confirm that the proposed method can accurately
 289 estimate the dynamic region, even if only few projections are available per time point. The
 290 dynamic region's estimate can be utilized as prior knowledge in various recently proposed PCT
 291 reconstruction methods that allow for dose reduction without compromising image quality.

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